

III-P Reportable Payment File Reporting

The Reportable Payment File maintains a detail history of all expenditure transactions that are required to complete the 1099 reporting requirement to the Internal Revenue Service and Franchise Tax Board at the end of the tax year. The tax year is from late December through late December of the following year. The exact dates are announced in the CALSTARS News each year. This change from the standard calendar year allows time for Claim Schedules and other payments to reach the SCO for processing and mailing to the vendor prior to the end of the calendar year. The file contains a financial amount field, which is the actual amount of the individual transaction.

File Information

Records may be posted to this file when an expenditure payment is made in a Claim Schedule transaction (including Credit Memo and Claim Correction) or by using special transaction codes that post directly to this file. TC R01 and TC R02 post directly to the RP File; claim schedule transactions post to the RP File when they are released from the Warrant Write File. For information on decision logic for posting a transaction to this file see Volume 1, Chapter XII, Reportable Payments.

The chart on the next page identifies the data elements contained in the Reportable Payment File. It has a brief description of each data element in the file; the source of data; and controlling factors that determine if the data is posted to the Reportable Payment File. Some of the data elements listed are not displayed on the reports, however they are needed to prepare the Form 1099 at the end of the tax year.

Reportable Payment File Key

NAME	SOURCE	CONTROLLING FACTORS
Organization	Sign-on/Security	Name/Password
Tax Year	From Transaction	Auto Claim - when selected for printing; Manual Claim/direct post to RP File - post to History File
SSN/FEIN	VE Table look-up	None
Vendor Number	Coded	None
Transaction ID	Coded	None

Reportable Payment File Information Elements

NAME	SOURCE	CONTROLLING FACTORS
Transaction Code	Coded	None
Fiscal Month	Coded	None
FFY	Coded	None
Object Detail	Coded	None
D11 Form Type	D11 Table	Restricted to those with a value
D11 Box Number	D11 Table	Restricted to those with a value
D11 RPI	D11 Table	Restricted to those with a value
Input RPI	Coded	Will be shown when present in the reportable payment transaction OR when the RPI input value is 8 and the Vendor Type is E, I or P
Invoice Number	Coded	None
Claim Schedule Number	Coded	None
Vendor Name	VE Table look-up	None
Vendor Mailing Address	VE Table look-up	None
Vendor Type	VE Table look-up	Restricted to Types C, E, I and P
Document Date	Coded	None
Posting Date	Date posted to RP File	None
Transaction Amount	Coded	None

Detailed description and illustration of the Reportable Payment File reports are on the following pages.

EXHIBIT III-P01

REPORT NAME:	Year-To-Date Reportable Payment Report	REPORT NO:	CSTARP01
PURPOSE:	To monitor transactions that will be included on the Form 1099 reported to the Internal Revenue Service and Franchise Tax Board at the end of each tax year.		
DESCRIPTION:	Displays individual records from the Reportable Payment File sorted by Tax ID or Vendor Number.		
REPORT REQUEST OPTIONS:			
Report Period:			
FM:	CM=Year-to-Date of the Tax Year		
P:	Not Applicable		
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
Not Applicable	Not Applicable	Not Applicable	0-By Tax ID 1-By Vendor No/Suffix
Fund Selection:	Not Applicable		
GLA Selection:	Not Applicable		
Additional Report Selection Options Popup Screen: Not applicable			
Destination Options: All available output media			
FINANCIAL ELEMENTS:			
Amount: The payment transaction amount. Normal balance is a Debit. Credit Memos, Claim Corrections and TC R01 entries have a Credit Balance. The total for the Vendor or Tax ID should be a Debit amount.			
SPECIAL NOTES:			
<p>The report covers a tax year, which is from late December through late December of the following year. The exact dates are announced in the CALSTARS News each year. The IRS defines reporting of income as monies received during the calendar or tax year. CALSTARS cuts off the collection of reportable information on the late December date to allow time for the payment to reach the vendor before the end of the calendar year.</p> <p>Reportable payment transactions (including credit memos) are posted to the RP File when an automated claim schedule is released to print. Manual claim schedule transactions, claim corrections and transactions that post directly to the RP File (TCs R01 and R02) are posted to the RP File when the entry is posted to the History File.</p>			

EXHIBIT III-P01 (Continued)

REPORT NAME: Year-To-Date Reportable Payment Report	REPORT NO: CSTARP01
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REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Tax ID (FEIN or SSN)	Level of Detail: F	No	Yes
Vendor No/Suffix	Level of Detail: F	No	Yes
D11 RPI	None	No	No
Batch ID	None	No	No

When the Fund Level of Detail is **0**, the report sorts with Tax ID followed by Vendor Number with a sub-total by Tax ID. Vendor Number has no sub-total.

When the Fund Level of Detail is **1**, the report sorts with Vendor Number/Suffix followed by Tax ID with a sub-total by Vendor Number/Suffix. Tax ID has no sub-total.

EXHIBIT III-P01 (Continued)

CSTARP01 9990 (DEST: A1 CPT1) CM, / ,0,0,0,0, / , / , / , / ***** RUN:12/12/00 TIME:23.54

***** 0(TAX ID)*****

DEPARTMENT OF AIR QUALITY
YEAR-TO-DATE REPORTABLE PAYMENT REPORT
AS OF 12/12/00

***** PAGE 1

TAX YEAR: 2000

FEIN / SSN	VENDOR-NO/SFX		*----- VENDOR NAME -----*			*----- VENDOR ADDRESS -----*				*----- TAX NAME -----*			
-----	BATCH ID	-----	DUP	CLAIMS		TRANS		OBJ	D11	INPUT	VEND	AMOUNT	
DATE	TYPE	NO	SEQ	IND	SCHEDULE	INVOICE	CODE	FM	FFY	DET	RPI	RPI	TYPE

555-66-7777	0000006185-00	DDT ENVIRONMENTAL SERVICES	P.O. BOX 246864	LESLIE R. CARRING
000922	04 031 00001 0	PP00016	240 03 00 346 7	I 175.00

* TOTAL FOR 555-66-7777

175.00

666-77-8888	0000000011-00	JAAKE'S PRESS CLIPPING	657	BISSION STREET	MACOMBS, JOHN N.	
001113	04 048 00002 0	SI00025 2909541020	240	05 00 213 7	I	77.00

* TOTAL FOR 666-77-8888

77.00

667-88-9999	SCDD102276-00	AARON	TRAVEL SERVICE	1565	RIVER PARK DRIVE	STE C	AARON TRAVEL SERVICES	
000515	04 003	00001	0	082132H			I	45.00
000515	04 003	00002	0	082132H			I	15.00
000515	04 003	00003	0	082132H			I	150.00
000727	04 009	00002	0	082014K			I	465.00
000823	04 018	00002	0	082036K	ACCT #12		I	255.00
000915	04 005	00001	0	082045K	12		I	180.00
001013	04 007	00002	0	082055K	12		I	240.00

* TOTAL FOR 667-88-9999

1,350.00

675-22-3333	SCDD113500-00	NICOLE MARIE SMITHERS	5508 2ND AVENUE	NICOLE MARIE SMITHERS
000519	04 007 00015 0	082136H 919904	231 11 99 215 7	I 177.00

* TOTAL FOR 675-22-3333

177.00

95-1238524	0000006209-00 PENSION CONSULTING SERVICE						12310 VENTURA BLVD., SUITE 652				ALLAN R BAKIN & NORI GERARDO LOTS			
000724	04	004	00001	0	SI00003	379	233	01	99	418	7	P	6,073.00	
001116	04	049	00003	0	SI00026	435	232	05	00	418	7	P	1,400.00	
001116	04	049	00004	0	SI00026	435	231	05	00	418	7	P	700.00	
001116	04	049	00005	0	SI00026	425	232	05	00	418	7	P	4,900.00	

* TOTAL FOR 95-1238524

13,073.00

EXHIBIT III-P01 (Continued)

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EXHIBIT III-P02

REPORT NAME:	Reportable Payment Exception Report	REPORT NO:	CSTARP02
PURPOSE:	To monitor entries that the system will be unable to process for Form 1099 reporting to vendors, IRS and Franchise Tax Board at the end of the each tax year.		
DESCRIPTION:	<p>Displays all records in the Reportable Payment (RP) File by FEIN/SSN, 1099 Form Number and 1099 Box Number that CANNOT be reported to the IRS and FTB at the end of the tax year. This includes FEIN/SSNs with all 9s and those with a Credit balance. Two reports are produced:</p> <ol style="list-style-type: none"> 1) Reportable Payment 1099-Miscellaneous Exception Report - for those vendors who would have received a 1099-MISC form; and 2) Reportable Payment 1099-Interest Exception Report, for those vendors who would have received a 1099-INT form. 		
REPORT REQUEST OPTIONS:			
Report Period:			
FM: CM = Current Tax Year P: Not Applicable			
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
Not Applicable	Not Applicable	Not Applicable	Not Applicable
Fund Selection: Not Applicable			
GLA Selection: Not Applicable			
Additional Report Selection Options Popup Screen: Not applicable			
Destination Options: All available output media			
FINANCIAL ELEMENTS:			
Amount: The payment transaction amount. Normal balance is a Credit.			
SPECIAL NOTES:			
<p>The report identifies those records with Credit balances in any of the 1099 boxes. No Credit balances can be reported to the IRS and FTB at the end of the tax year.</p> <p>The report covers a tax year, which is from late December through late December of the following year. The exact dates are announced in the CALSTARS News each year. The IRS defines reporting of income as monies received during the calendar or tax year. CALSTARS cuts off the collection of reportable information on the late December date to allow time for the payment to reach the vendor before the end of the calendar year.</p>			

EXHIBIT III-P02 (Continued)

REPORT NAME: Reportable Payment Exception Report	REPORT NO: CSTARP02
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SPECIAL NOTES: (CONTINUED)

Reportable payment transactions (including Credit Memos) are posted to the RP file when an automated claim schedule is released to print. Manual claim schedule transactions, claim corrections and transactions that post directly to the RP File (TCs R01 and R02) are posted to the RP File when the entry is posted to the History File.

Reasons for vendors appearing on this report include:

- Entering claim corrections or reversal claim schedule entries after the late December cut off date.
- Recording abatements with the wrong object detail code.
- Entering data directly to the RP File with TCs R01 or R02 incorrectly or in the wrong tax year.
- Not having a valid FEIN/SSN for the vendor.

If there are no records with incorrect FEIN/SSNs or Credit balances, a Null Report is printed.

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Tax ID (FEIN or SSN)	None	No	Yes
Vendor No/Suffix	None	No	No
D11 RPI	None	No	No
Batch ID	None	No	No

EXHIBIT III-P02 (Continued)

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CSTARP02 9990 (DEST: L1 CPT1) CM, ,0,0,0,0, , , , , , , , , , , ***** RUN:12/12/00 TIME:23.54  
TAX YEAR: 2000 *****
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DEPARTMENT OF AIR QUALITY
REPORTABLE PAYMENT 1099-MISCELLANEOUS EXCEPTION REPORT
AS OF 12/12/00

***** PAGE 1

 * NOTE: 1099-MISC FORMS WILL NOT BE CREATED FOR THE FOLLOWING TAXPAYER *
 * IDENTIFICATION NUMBERS BECAUSE OF DATA EXCEPTIONS AS SHOWN *

FEIN / SSN	VENDOR-NO/SFX	BOX #	*-----	VENDOR NAME	*-----*	*-----	VENDOR ADDRESS	*-----*	*-----	TAX NAME	*-----*			
-----	BATCH ID	-----	DUP	CLAIM		TRANS	OBJ	D11	INPUT	VEND	AMOUNT			
DATE	TYPE	NO	SEQ	IND	SCHEDULE	INVOICE	CODE	FM	FFY	DTL	RPI	RPI	TYPE	
999-99-9999	0000685000-00	07			JOTTAGADDA N RAO		124	ALLRIDGE	WAY					JOTTAGADDA N RAO
000503	04	514	00006	0	070972H	CONSULTING	231	11	99	418	7		I	999.00
999-99-9999	0000979500-06	07			CCL INDUSTRIES					PENALTY ASSESSMENTS				
000804	04	539	00003	0	000191K	00-78	231	02	99	248	7		I	16.25

AMOUNTS BY BOX:	01 (RENTS)	02 (ROYALTIES)	03 (OTHER INCOME)	06 (MEDICAL)	07 (NON-EMPL COMP)	13 (ATTORNEY GROSS FEES)	NET
01	02	03	04	05	06	07	08
09	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24
25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40
41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56
57	58	59	60	61	62	63	64
65	66	67	68	69	70	71	72
73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88
89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104
105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120
121	122	123	124	125	126	127	128
129	130	131	132	133	134	135	136
137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152
153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168
169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184
185	186	187	188	189	190	191	192
193	194	195	196	197	198	199	200
201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216
217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232
233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248
249	250	251	252	253	254	255	256
257	258	259	260	261	262	263	264
265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280
281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296
297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312
313	314	315	316	317	318	319	320
321	322	323	324	325	326	327	328
329	330	331	332	333	334	335	336
337	338	339	340	341	342	343	344
345	346	347	348	349	350	351	352
353	354	355	356	357	358	359	360
361	362	363	364	365	366	367	368
369	370	371	372	373	374	375	376
377	378	379	380	381	382	383	384
385	386	387	388	389	390	391	392
393	394	395	396	397	398	399	400
401	402	403	404	405	406	407	408
409	410	411	412	413			

NOTE: When the CSTARP02 is requested, both the 1099-Miscellaneous and 1099-Interest reports are produced. Only the report(s) with data are produced. If both have no data, a "Null" report is produced.

EXHIBIT III-P02 (Continued)

CSTARP02 9990 (DEST: L1 CPT1) CM, ,0,0,0,0, , , , , , , ***** RUN:12/12/00 TIME:23.54
TAX YEAR: 2000 *****

DEPARTMENT OF AIR QUALITY
REPORTABLE PAYMENT 1099-INTEREST EXCEPTION REPORT
AS OF 12/12/00

***** PAGE 1

 * NOTE: 1099-INTEREST FORMS WERE NOT CREATED FOR THE FOLLOWING TAXPAYER *
 * IDENTIFICATION NUMBERS BECAUSE OF DATA EXCEPTIONS AS SHOWN *

FEIN / SSN	VENDOR-NO/SFX	BOX NO	***** VENDOR NAME *****				***** VENDOR ADDRESS *****				AMOUNT			
-----	BATCH ID	DUP	CLAIM	TRANS			OBJ	D11	INPUT	VEND				
DATE	TYPE	NO	SEQ	IND	SCHEDULE	INVOICE	CODE	FM	FFY	DTL	RPI	RPI	TYPE	
999-99-9999	0000072886-00		01		JOHN JONES					570	OLDEN	ROAD		
930217	4 540 00003	0		9200507			253	07	99	545	5		I	51.64-

TOTAL OF BOX 01 (INTEREST)														51.64-
NEGATIVE TOTAL AMOUNT DETECTED FOR BOX 01 (INTEREST)														
999-99-9999 IS NOT A VALID SSN														

NOTE: When the CSTARP02 is requested, both the 1099-Miscellaneous and 1099-Interest reports are produced. Only the report(s) with data are produced. If both have no data, a "Null" report is produced.